



ANTITRUST ENFORCEMENT AWARDS

PROCEDURES

The American Antitrust Institute 2016 Antitrust Enforcement Awards will recognize achievements in antitrust litigation by legal practitioners and economists. The Awards will be presented at a gala dinner on Wednesday, November 9, 2016, following the American Antitrust Institute's (AAI's) Annual Private Antitrust Enforcement Conference.

Judging Committee:

A Judging Committee shall be established by appointments made by the AAI. Appointments shall be for an initial term of one year. Judges shall be ineligible to receive an award under the program. Judges shall be recused from voting on an individual award if: (1) they played any role in the preparation of a case under consideration for that award; (2) they work for an organization that employs a nominee under consideration for that award, or have any financial connection with such nominee; or (3) if they worked with, or opposite to, such nominee in the preceding 18-month period. Should any dispute arise about the need for recusal that cannot be resolved to the satisfaction of all members of the Judging Committee, the matter shall be finally determined by the Committee Chair, subject to possible review.

The Judging Committee shall consist of no more than twenty, nor less than six, members. A Committee Chair shall be appointed to preside over the Judging Committee. The AAI President shall endeavor to achieve a reasonable degree of diversity in these appointments with respect to occupation in private and academic sectors, and professional background in law and economics.

Award Qualifications:

To become eligible for consideration, nominations must relate to antitrust-focused litigation that:

- (a) was initiated in or appealed in any U.S. state or federal court; and
- (b) resulted (whether or not subject to appeal) in a final judgment, verdict, dismissal, conviction, injunction, order, or settlement between July 1, 2015 and June 30, 2016.

Criteria:

Awards shall be granted in three categories, the requirements and criteria for which are outlined in detail below.

Outstanding Antitrust Litigation Achievement in Private Law Practice

This award is limited to private civil actions. Nominees can include a law firm, a law firm team, or individual practitioner(s). Nominees will be judged on:

- (a) the benefit realized by the client, consumers, or class; and
- (b) the positive development of antitrust policy.

Outstanding Antitrust Litigation Achievement by a Young Lawyer

This award is limited to private civil actions. Nominees should be individual practitioner(s) under 40 years old or admitted to practice for 10 years or less. Nominees will be judged on:

- (a) the individual's contribution to the case;
- (b) the benefit realized by the client, consumers, or class; and
- (c) the positive development of antitrust policy.

Outstanding Antitrust Litigation Achievement in Economics

This award is limited to contributions within government or private civil or criminal antitrust litigation by an individual economist, team of economists, or economic consulting firm.

Nominees will be judged on:

- (a) contribution to the development of antitrust-related economic methodology, analysis, and/or presentation; and
- (b) the positive development of antitrust policy.

Procedures:

The AAI shall create and disseminate a simple form for submission of nominations for the awards. Submissions may be made by any person. Both third-party and self-nominations are accepted. Entrants can submit multiple projects. Anonymous nominations will not be accepted. There is no submission fee.

A call for submissions will be made on June 1, 2016, with reminders (as necessary) being issued through August 26, 2016. The submission period will close on August 26, 2016.

The Judging Committee will determine eligibility of nominations within each category between September 1, 2016 and September 15, 2016. A second round of evaluation will determine the honorees in each category, to take place between September 26, 2016 and September 30, 2016.

Honorees shall be selected based on the majority vote of non-recused members of the Judging Committee. The Committee Chair shall not vote, except that, in the event of a tie vote by the Judging Committee, the Committee Chair may, at his or her discretion, cast a tie-breaking vote serving in the capacity of ex officio member of the Judging Committee for that purpose only. If the Committee Chair does not exercise his or her discretion to break the tie, then multiple honorees will be selected.

Honorees will be notified of their selection on October 7, 2016, at which time they will also be notified that they are requested to be present at the November 9, 2016 gala dinner to accept their award.

Recognition of Awards:

Honorees will be presented with an engraved trophy. Should honorees wish to purchase additional matching trophies for their teammates and other firm members, they can do so for an additional fee per trophy.